

HCBA Retirement Solution

Powered by TAG and Transamerica 401(k) Retirement Plan Exchange®

2019 Pricing Guideline: \$0 up to \$4 Million



All-In*

Without Financial Advisor Compensation or HCBA Annual Participation Fee****

0.64%

For Plan Asset Balances From \$0 Through \$3,999,999

Fiduciary Services:

- Third Party Administrator - TAG Resources
- 3(16) Administrative Fiduciary - TAG Resources
- 402(a) Named Fiduciary - TAG Resources
- 3(38) Investment Manager - Two West Advisors

Includes:

- Mutual Fund Fees
- Transamerica Fees
- Fiduciary Services Provider Fees (listed above)

Annual Participant:

- \$25 Per Participant Account (billed quarterly)

Plan Level Fees:

- Plan Asset Balances \$0 - \$99,999:
 - \$1,000 Advisor Installation Fee (one-time)
 - \$1,000 Transamerica Installation Fee (one-time)**
 - \$2,500 Annual Administration Fee, reduced to \$1,000 annually if plan adopts a Safe Harbor provision
- Plan Asset Balances \$100,000 - \$249,999:
 - \$1,000 Advisor Installation Fee (one-time)
 - \$2,500 Annual Administration Fee, reduced to \$1,000 annually if plan adopts a Safe Harbor provision
- Plan Asset Balances \$250,000 - \$499,999:
 - \$1,000 Annual Administration Fee, reduced to \$250 annually if plan adopts a Safe Harbor provision
- Plan Asset Balances \$500,000 +:
 - No Annual Administration Fee
- Plan Asset Balances Of All Sizes:
 - \$100 Annual ERISA Bond Fee
 - \$0 Enrollment Fee ****

For Plan Asset Balances \$4,000,000 & Over: Pricing Is Custom, Based On Plan Demographics

* All-In based on TA Blackrock Lifepath Index Funds (QDIA). All-In includes Transamerica fees, 12b-1 fees, fees received by Transamerica and its affiliates, and the expenses of underlying mutual funds. Actual fees based on individual participant fund allocation. All-In does not include the flexible Financial Advisor compensation.

** Fee is based on Plan Asset Balances that include initial takeover assets and rollover assets that are received within 90 days of the plan's installation. It does not include plan flow.

*** Initial enrollment meeting, year-one, is free as long as 10 employees are in attendance. Otherwise, a fee of \$300 will apply.

**** There is an Annual Participation Fee of 5bps, capped at \$1,000, paid to the HCBA.

Other fees may apply.

This is provided for informational purposes only and is not intended to constitute compliance with any applicable legally required disclosures, including, but not limited to, disclosures required under ERISA Section 408(b)2. Other service provider fees may apply.